

Docket No: AM100186-00
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Waldemar Pfrengle**
Serial No.: **09/879,258** Attention **Initial Patent**
Filed: **June 12, 2001** **Examination Division**
For: **Fungicidal Triazolopyrimid-7-ylideneamines**

Assistant Commissioner for Patents
Washington, DC 20231

January 10, 2002

Sir:

NOTICE TO FILE MISSING PARTS

Please charge deposit account 02-1197 for any fees associated with entering the declaration attached.

Responsive to a Notice To File Missing Parts mailed August 10, 2001, here is a Petition And Declaration Under 37 CFR 1.47, and a copy of the PTO notice.

If the Initial Patent Examination Division has any questions, a telephone contact to the applicant's attorney is respectfully requested.

Respectfully submitted,



Charles F. Costello

BASF Corporation
Intellectual Property Department
3000 Continental Drive - North
Mount Olive, New Jersey 07828-1234
Tel. No. (609) 716-3273

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Certificate of Transmission under 37 CFR 1.8

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Jan 10 2002
Date

Delia Coughlin
Delia Coughlin



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/879,258	06/12/2001	Waldemar Pfrengle	AM100186-00

CONFIRMATION NO. 4853

FORMALITIES LETTER



OC000000006411200

**Intellectual Property Department
BASF Corporation
3000 Continental Drive-North
Mount Olive, NJ 07828-1234**

Date Mailed: 08/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).**

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

K

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE.

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PTO/SB/17 (11-00)

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision

Complete if Known

Application Number **09/879,258**
 Filing Date **June 12, 2001**
 First Named Inventor **Waldemar Pfengle**
 Examiner Name **TBA**
 Group Art Unit **TBA**
 Attorney Docket No. **AM100186-00**

TOTAL AMOUNT OF PAYMENT **(\$)** 1,050.00**METHOD OF PAYMENT**1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:Deposit
Account
Number

Deposit
Account
Number**02-1197****BASF Corporation**

☒ Charge Any Additional Fee Required
 Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
 See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
-20**=		X	
-3**=		X	
Multiple Dependent			

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claims, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 & over original patent

**or number previously paid, if greater: For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English Specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner Action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner Action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	\$920.00
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive- unavoidable	
141	1,280	241	640	Petition to revive- unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	\$130.00
195	300	195	300	Publication Fee	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)** 1,050.00**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type) **Charles F. Costello** Registration No. **27,324** Telephone
 Signature *C. Costello* Date: *January 10, 2002*

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

** TOTAL PAGE.24 **

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Delia Coughlin

Delia Coughlin

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Note: Each paper must have its own certificate of transmission, or this certificate must identify
each submitted paper.

Serial No.: 09/879,258

File Date: June 12, 2001

Docket No.: AM100186-00

Attorney: C.F. Costello

Applicant: Waldemar Pfrenge

Title: Fungicidal Triazolopyrimid-7-ylideneamines

The U.S. Patent Office acknowledges receipt of the documents below for the above-identified patent application:

- a. Petition And Declaration Under 37 CFR 1.47 with Exhibits 1 through 8
- b. Response and Notice To File Missing Parts of Nonprovisional Application
- c. Petition For Three Month Extension of Time
- d. Fee Transmittal For 2002

Total number of pages, including Certificate of Transmission Cover Sheet: 24

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